

**NATIONAL HEALTH SERVICE  
ENGLAND**

**The NHS Southport and Formby Clinical Commissioning Group  
Directions 2016**

The National Health Service Commissioning Board ("the Board"), in exercise of powers conferred by section 14Z21 of the National Health Service Act 2006 gives the following Directions.

**Citation, commencement and application**

- (1) These Directions are given to NHS Southport and Formby Clinical Commissioning Group ("Southport & Formby CCG").
- (2) These Directions may be cited as the Southport & Formby CCG Directions 2016 and come into force on 1 September 2016.
- (3) These Directions apply until they are varied or revoked by the Board.

**Exercise of functions**

- (4) The Board directs that:
  - (a) Southport & Formby CCG shall within four (4) weeks of the date of these Directions produce an Improvement Plan that sets out how it shall ensure that the capacity, capability and governance of the CCG is made fit for purpose.
  - (b) The content of the Southport & Formby CCG Improvement Plan shall meet any requirements as set out by the Board and shall provide for the implementation of the recommendations of the Capability and Capacity Review dated 22 June 2016.
  - (c) Southport & Formby CCG shall promptly implement the Improvement Plan in accordance with the Board's instructions.
  - (d) The Board may direct Southport & Formby CCG in any other matters

relating to the Improvement Plan and any variation to it.

(5) The Board further directs that:-

(a) Southport & Formby CCG shall as part of the Improvement Plan include a Financial Recovery Plan that:-

- (i) sets out how Southport & Formby CCG shall ensure that in the financial year 2016/17 it achieves an in-year deficit of no more than £4.0m and how it will operate within its annual budget for the financial year 2017/18 and thereafter;
- (ii) confirms that all facts, figures and projections within the Financial Recovery plan have been subjected to scrutiny by an independent organisation;
- (iii) provides a complete analysis of the causes of the current underlying financial position and addresses these causal factors in the Financial Recovery Plan ;
- (iv) includes a clear demonstration of clear links to internal budgets, reporting, activity plans, cash plans and contracting;
- (v) includes an implementation plan with timescales for the achievement of any efficiency initiatives identified within the Financial Recovery Plan;
- (vi) includes a clear risk assessment of the Financial Recovery Plan; and
- (vii) includes any other requirements stipulated by the Board.

(b) The Financial Recovery Plan, shall be subject to the Board's approval.

(c) Southport & Formby CCG shall implement the Financial Recovery Plan.

(d) Southport & Formby CCG will co-operate with the Board including but not limited to the prompt provision of information requested by the Board and making senior officers available to meet with the Board and to discuss the Financial Recovery Plan, the implementation and the progress of the same.

(e) It may direct Southport & Formby CCG in any other matters relating

to the Financial Recovery Plan.

## **Executive Team and Senior Appointments**

(6) The Board directs that:

- (a) Southport & Formby CCG will notify the Board of the need to make any appointments to its Executive Team (including but not limited to any Turnaround Director) or its next tier of management.
- (b) Where it considers it necessary to do so, the Board will determine the process to be followed by Southport & Formby CCG in making appointments as referred to in paragraph 6(a).
- (c) The appointment of any person to a position referred to in paragraph 6(a) and the terms of such appointment will be subject to prior approval by the Board.
- (d) Southport & Formby CCG will co-operate with the Board regarding the appointment of any person in accordance with this paragraph 6, including but not limited to the prompt provision of information, documents and records requested by the Board and making senior officers available to meet with the Board.

## **Compliance with these directions**

- (7) The Board directs that Southport & Formby CCG shall co-operate with the Board regarding the Board's oversight of Southport & Formby CCG's compliance with these Directions, including but not limited to the prompt provision of information, documents and records requested by the Board and making senior officers available to meet with the Board.



**Simon Stevens**

**Chief Executive**

**NHS Commissioning Board**