

**NATIONAL HEALTH SERVICE
ENGLAND**

The NHS Vale of York Clinical Commissioning Group Directions 2016

The National Health Service Commissioning Board ("the Board"), in exercise of powers conferred by section 14Z21 of the National Health Service Act 2006 gives the following Directions.

Citation, commencement and application

- (1) These Directions are given to NHS Vale of York Clinical Commissioning Group ("Vale of York CCG").
- (2) These Directions may be cited as the Vale of York CCG Directions 2016 and come into force on 1 September 2016.
- (3) These Directions apply until they are varied or revoked by the Board.

Exercise of functions

- (4) The Board directs that:
 - (a) Vale of York CCG shall within four (4) weeks of the date of these Directions produce a revised Improvement Plan that sets out how it shall ensure that the capacity, capability and governance of the CCG is made fit for purpose including agreeing with the Board how it will strengthen its financial leadership.
 - (b) The content of the Vale of York CCG Improvement Plan shall meet any requirements as set out by the Board and shall provide for the implementation of the recommendations of the Capability and Capacity Review date 28 January 2016.
 - (c) Vale of York CCG shall promptly implement the Improvement Plan in accordance with the Board's instructions.

(d) The Board may direct Vale of York CCG in any other matters relating to the Improvement Plan and any variation to it.

(5) The Board further directs that:-

(a) Vale of York CCG shall as part of the revised Improvement Plan include a Financial Recovery Plan that:-

- (i) sets out how Vale of York CCG shall ensure that in the financial year 2016/17 it achieves an in-year deficit of no more than £7m and how it will operate within its annual budget for the financial year 2017/18 and thereafter;
- (ii) confirms that all facts, figures and projections within the Financial Recovery plan have been subjected to independent scrutiny by an organisation approved by the Board;
- (iii) provides a complete analysis of the causes of the current underlying financial position;
- (iv) includes a clear demonstration of clear links to internal budgets, reporting, activity plans, cash plans and contracting;
- (v) includes a clear risk assessment of the Financial Recovery Plan; and
- (vi) includes any other requirements stipulated by the Board.

(b) The Financial Recovery Plan, shall be subject to the Board's approval.

(c) Vale of York CCG shall implement the Financial Recovery Plan.

(d) Vale of York CCG will co-operate with the Board including but not limited to the prompt provision of information requested by the Board and making senior officers available to meet with the Board and to discuss the Financial Recovery Plan, the implementation and the progress of the same.

(e) It may direct Vale of York CCG in any other matters relating to the Financial Recovery Plan.

Executive Team and Senior Appointments

(6) The Board directs that:

- (a) Vale of York CCG shall nominate an Interim Accountable Officer to the Board.
- (b) The Board will determine the process to be followed to make such nomination.
- (c) Vale of York CCG will look to nominate an Interim Accountable Officer for a term of no less than 12 months from the date of the departure of the current interim Accountable Officer.
- (d) The nomination of the Interim Accountable Officer will be subject to prior approval by the Board.
- (e) Vale of York CCG will co-operate with the Board regarding the appointment of the Interim Accountable Officer, including but not limited to the prompt provision of information, documents and records requested by the Board and making senior officers available to meet with the Board.

(7) The Board further directs that:

- (a) Vale of York CCG will notify the Board of the need to make any appointments to its Executive Team or its next tier of management.
- (b) Where it considers it necessary to do so, the Board will determine the process to be followed by Vale of York CCG in making appointments as referred to in paragraph 7(a).
- (c) The appointment of any person to a position referred to in paragraph 7(a) and the terms of such appointment will be subject to prior approval by the Board.
- (d) Vale of York CCG will co-operate with the Board regarding the appointment of any person in accordance with this paragraph 7, including but not limited to the prompt provision of information, documents and records requested by the Board and making senior officers available to meet with the Board.

Compliance with these directions

(8) The Board directs that Vale of York CCG shall co-operate with the Board regarding the Board's oversight of Vale of York's CCG's compliance with these Directions, including but not limited to the prompt provision of information, documents and records requested by the Board and making senior officers available to meet with the Board.

A handwritten signature in black ink, appearing to read 'Simon Stevens', with a horizontal line underneath the name.

Simon Stevens
Chief Executive
NHS Commissioning Board