

## NHS ENGLAND – BOARD PAPER

**Title:**

Report of the Investment Committee (IC) to the NHS England Board

**Lead Director:**

Moira Gibb, Non-Executive Director and Chair of the IC  
Paul Baumann, Chief Financial Officer (CFO)

**Purpose of the paper:**

To inform the Board of the IC meeting held 22 September 2016 and the additional meeting convened on 10<sup>th</sup> October 2016 to discuss the Dulwich Health Centre Stage 1 LIFT scheme.

To request that the Board ratifies the decisions taken by the Investment Committee on the proposed changes to NHS England funding and delegations to align with a system wide IT and digital approach, as discussed at the IC meeting on 6<sup>th</sup> December:

- the approval of a non-recurrent revenue transfer of £37.3m from NHS England to NHS Digital for 2016/17 through the mandate re-opening;
- delegation of authority to the Chief Financial Officer of NHS England to approve the values for setting a fixed financial plan which contributes to the National Information Board (NIB) budget envelope for 2017/18 and 2018/19, of which a value to be quantified will non-recurrently transfer annually from NHS England's mandate to NHS Digital;
- delegation of authority to the Chief Financial Officer of NHS England to notify the Digital Delivery Board of an indicative funding envelope for 2019/20 and 2020/21 which will contribute to the NIB budget envelope; and
- delegation of authority to the Chief Financial Officer of NHS England, or nominated deputy, to make investment decisions on behalf of NHS England as a voting member of the Technology and Data Investment Board (TDIB).

**The Board is invited to:**

Note the content of the report and ratify the decisions taken at the 6<sup>th</sup> December Investment Committee meeting relating to the approach to system wide IT and digital.

## **REPORT OF THE INVESTMENT COMMITTEE TO THE BOARD**

**REPORT TO THE BOARD FROM:** Investment Committee  
**COMMITTEE CHAIR:** Moira Gibb, Non-Executive Director  
**DATES OF COMMITTEE MEETINGS:** 22 September 2016, 10 October 2016, and 6 December 2016

### **MATTERS FOR THE BOARD'S ATTENTION**

At its meeting on 6<sup>th</sup> December 2016, the IC was updated on the cross system approach being taken to deliver the IT and Digital mandate through the National Information Board (NIB), which is chaired by the Chief Clinical Information Officer (CCIO). The IC noted that the NIB has delegated investment decisions to the Technology and Data Investment Board (TDIB) which will take decisions that will commit funds sitting with each of DH, NHS England (NHSE) and NHS Digital (NHSD). NHS England is therefore required to make a number of changes to its funding and governance arrangements.

The Board is asked to ratify the decisions taken at the Investment Committee meeting on 6 December 2016:

- the approval of a non-recurrent revenue transfer of £37.3m from NHS England to NHS Digital for 2016/17 through the mandate re-opening;
- delegation of authority to the Chief Financial Officer of NHS England to approve the values for setting a fixed financial plan which contributes to the NIB budget envelope for 2017/18 and 2018/19 of which a value to be quantified will non-recurrently transfer annually from NHS England's mandate to NHS Digital;
- delegation of authority to the Chief Financial Officer of NHS England to notify the Digital Delivery Board of an indicative funding envelope for 2019/20 and 2020/21 which will contribute to the NIB budget envelope; and
- delegation of authority to the Chief Financial Officer of NHS England, or nominated deputy, to make investment decisions on behalf of NHS England as a voting member of the TDIB.

The IC asked that a paper and discussion covering accountability arrangements for the above is scheduled for an upcoming Audit, Risk and Assurance Committee.

### **ITEMS FOR THE BOARD'S INFORMATION AND ASSURANCE**

#### **Dulwich Health Centre LIFT scheme**

The IC discussed the background, financing and governance arrangements of the scheme on 22<sup>nd</sup> September.

The IC reconvened by teleconference on the 10<sup>th</sup> October to consider the Stage 1 business case approval for a new Health Centre in Dulwich, London SE22 to be provided under the "NHS Local Improvement Finance Trust (LIFT)" programme. The Committee approved the Stage 1 business case and agreed to delegate final approval of the Annual Unitary Charge (AUC) to the Chief Financial Officer, with associated conditions. This was also subject to a number of conditions being met before Community Health Partnerships (CHP) confirmed

Stage 1 approval to BBH LIFTCo. The Committee also asked for a number of matters to be addressed in advance of the Committee considering Stage 2 approval.

### **Oversight Group for Service Change and Reconfiguration (OGSCR)**

The Committee noted the update from OGSCR.

### **Dorset Clinical Services Review**

The IC agreed that the four tests for service change and reconfiguration had been passed with the exception of the fourth test on commissioner support which was passed pending final approval from the Boards of nearby commissioners.

The IC noted it did not give approval of capital funding and agreed that capital should be agreed between NHS Improvement and NHS England regional teams with the CCGs and Trusts.

The IC agreed that they were supportive of the Dorset proposal and that a letter would follow to indicate this support and outline any conditions of the approval, following further financial assurance by the CFO.

### **PROGRESS AGAINST THE COMMITTEE'S ANNUAL WORK PLAN**

The Committee is working in accordance with the Schedule of Business.

### **RECOMMENDATION**

The Board is invited to note the content of the report, and ratify the decisions relating to the approach to system wide IT and digital.

**Author: Moira Gibb, Chair of the Investment Committee**

**Date: December 2016**