

Sent via email

From the office of Fran Steele
Director of Strategic Transformation,
North Midlands

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Tracy Bullock
Chief Executive Officer
University Hospitals of North Midlands NHS Trust

27th June 2022

Dear Tracy,

University Hospitals of the North Midlands NHS Trust: NHS system oversight framework segmentation Finance Compliance Notice

As you are aware the University Hospitals of North Midlands NHS Trust (UHNM, 'the Trust') was in segment 4 of the NHSI Single Oversight Framework due to being in Special Measures for Financial Reasons (FSM) until May 2021. The Trust exited FSM in May 2021 and was moved into segment 3 of the new NHSEI System Oversight Framework (SOF).

Following consideration by the NHSEI Midlands Regional Support Group, it has been agreed that University Hospitals of the North Midlands NHS Trust is issued with a Finance compliance certificate for the undertakings associated with Special Measures for Financial Reasons only. Please see enclosure 1 for full details of the compliance certificate.

Regarding the Operational undertakings (5.1 to 5.19), these will not be discontinued at this stage and will be discussed with you once the outcome of the final planning submission is finalised. At that point we will seek to set up a discussion with you to understand how best to take these forward.

We recognise and thank you for the efforts of you and your teams to provide the best quality care to our patients, including meeting and recovering from the additional challenges COVID-19 has posed. This decision is not a reflection of all those staff who have worked tirelessly for patients but an opportunity for us all to work together to build better and more sustainable services for those patients for the future.

If you wish to discuss the above or any related issues in more detail, please contact Halima Sadia, Assistant Director of Strategic Transformation (halima.sadia6@nhs.net) in the first instance.



Yours Sincerely,

A handwritten signature in black ink that reads "Fran Steele". The signature is written in a cursive, flowing style.

Fran Steele

Director of Strategic Transformation, North Midlands
NHS England and NHS Improvement

Enclosure 1: Finance Compliance Notice

cc:

Peter Axon – ICS Chief Executive Designate (Interim), SSOT

Halima Sadia – Assistant Director of Strategic Transformation, NHSEI

Enclosure 1: Finance Compliance Notice

COMPLIANCE CERTIFICATE

TRUST:

University Hospitals of the North Midlands
Newcastle Road
Stoke on Trent
Staffs
ST4 6QG

In this certificate, “NHS England and NHS Improvement” means the National Health Service Trust Development Authority.

NHS Improvement hereby certifies that it is satisfied that the Trust has complied with paragraphs 1(1.1-1.5), 2 (2.1- 2.2), 3 (3.1-3.2), 4 (4.1) and 6 (6.1- 6.3) of the Trust’s Enforcement Undertakings accepted by NHS England and NHS Improvement on 16 August 2018.

Signed:

A handwritten signature in black ink that reads "Fran Steele". The signature is written in a cursive, flowing style.

Fran Steele
Director of Strategic Transformation (North Midlands) and member of the Regional Support Group (Midlands)

Date: 27th June 2022