

To: The Board

For meeting on: 26 May 2016

Agenda item: 6

Report by: Lynne Burgess, Senior Governance Manager
Jessica Dahlstrom, Head of Governance

Report on: Corporate Report

Introduction

1. The Corporate Report brings together reports of all of NHS Improvement's Board committees.
2. In this particular report, we provide an overview of business discussed at the Board committees of the NHS Improvement, as well as those Board committees of the NHS Trust Development Authority (NHS TDA) and Monitor which continue to exist. Over the coming months, all separate committees will cease to exist and will be replaced by NHS Improvement Board committees.

NHS Improvement Audit and Risk Assurance Committee – 19 May 2016

3. Annual Report of the Audit and Risk Assurance Committee to the Board:
The Committee approved the content of its annual report to the Board. This report would be circulated to the Board in correspondence when finalised. The Committee requested minor amendments to its Terms of Reference.
4. Q4 Risk Report and approach to NHS Improvement risk management:
The Committee discussed the Q4 risk reports for Monitor and the NHS Trust Development Authority (TDA), and emphasised the importance of bringing these reports together going forward. It was agreed that the NHS Improvement risk register would have clear links to the business plan, and will be concise and focused on the organisation's top 10-15 risks. A new single approach to risk management and a new draft set of strategic risks should be brought to the next Committee meeting.

5. Timetable for process for finalising Monitor and NHS Trust Development Authority accounts: The Committee received a timetable for the finalisation of the accounts for Monitor and the TDA. It was agreed that any significant issues which arose during the course of finalising the accounts would be brought to the attention of the Committee in correspondence, with final approval scheduled for the Committee meeting on 1 July 2016.
6. NHS Improvement Internal Audit Plan: The Committee was provided with an oral update from its Internal Auditors. A draft Internal Audit Plan would be provided at the next meeting. The plan should be clearly linked to the organisation's business plan, objectives and new strategic risks.
7. Internal Audit: The Committee reviewed the following internal audit reports:
 - a. Patient and clinical engagement
 - b. Governance
 - c. Provider sustainability
 - d. Agency controls
 - e. Risk management

The Committee noted the reports but was concerned with the limited assurance on Agency Controls and agreed they would report on this to the Board and require attendance of the executive lead at the next Committee.

8. Update on consolidated NHS foundation trust accounts 2014/15: The Committee received an update on the key risks to the NHS foundation trust (NHSFT) consolidated accounts for 2015/16. The National Audit Office also provided an update on its audit of the financial statements for the consolidated accounts of the NHSFTs.

NHS TDA Investment Committee – 18 May 2016

9. The Committee approved a full business case for the Leeds Generating Station Complex at Leeds Teaching Hospitals NHS Trust.
10. See confidential Annex A.

NHS Improvement Nominations and Remuneration Committee – 13 May 2016

11. Terms of Reference: The Committee approved its Terms of Reference, including two minor amendments which were made subsequent to Board approval of these Terms of Reference on 22 March 2016. The Committee requested that a forward planner for the Committee would be developed, reflecting the duality of the

Committee's functions.

12. Appointment of Executive Regional Managing Directors: The Committee approved the proposed salary ranges for two Executive Managing Regional Directors.
13. Redundancy policy: The Committee considered an additional clause to be added to the redundancy policy which had been previously considered by the Remuneration Committees of Monitor and the NHS Trust Development Authority on 22 March 2016. The clause had been added to address a concern raised by the Remuneration Committee at that meeting with regard to tapering of redundancy payments. The Committee approved the revised redundancy policy
14. See confidential Annex B.

Recommendation

15. The Board is asked to note recent committee activity.

Lynne Burgess
Senior Governance Manager

Jessica Dahlstrom
Head of Governance

Public Sector Equality Duty

NHS Improvement has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In relation to the issues set out in this paper, consideration has been given to the impact that the recommendations might have on these requirements and on the nine protected groups identified by the Act (age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion and belief, gender and sexual orientation).

As it is for information, it is anticipated that none of the recommendations of this paper will have an impact upon the requirements of or the protected groups identified by the Equality Act.

Exempt information:

None of this report is exempt under the Freedom of Information Act 2000.