

To: The Board

For meeting on: 29 September 2016

Agenda item: 12

Report by: Jessica Dahlstrom, Head of Governance

Report on: Corporate Report

Introduction

1. The Corporate Report brings together reports of all of NHS Improvement's Board committees. This report summarises the committees' activity since the last meeting of the Board, which took place on 28 July 2016.

Audit and Risk Assurance Committee – 16 September 2016

2. Assurance and Risk Management Framework: The Committee commended and approved the updated framework and discussed the approach to risk appetite. The Committee considered that the statements perhaps erred on the side of caution as might be expected from a regulator, but that this might be inconsistent with the organisation's improvement role which required innovation and measured risk taking. It was agreed that this needed consideration at a Board development session using real life examples.
3. Update on the development of NHS Improvement's Strategic Risk Register: The Committee noted the report which provided an update on the development of NHS Improvement's Strategic Risk Register following an Executive workshop on 12 September. The Committee proposed that further work should be undertaken particularly on risk mitigation strategies as the basis for comprehensive discussion at a Board development session prior to approval.
4. Internal audit progress report: The Committee considered the report which provided an update on progress against the internal audit plan for 2016/17 and noted that two reports from this year's plan had been finalised since the last meeting of the Committee. Some concern was expressed about back loading and a view would be taken at the November meeting of the Committee.
5. Internal audit reports: The Committee discussed the two audit reports completed from this year's plan – key financial controls and the Virginia Mason programme

– and one NHS TDA legacy report on turnaround. Each had been given a 'moderate' rating and there were no matters for Board attention.

6. Audit actions: progress update: The Committee noted the report that provided an update on progress in implementing recommendations from previous internal audit reports. The Committee expressed some concern about delays in implementation and asked internal audit to keep this under review.
7. Data security: CQC and National Data Guardian for Health and Care reviews: The item was deferred to a future meeting for consideration
8. Full minutes of the meeting are attached as Confidential Annex A.

Provider Leadership Committee – 28 July 2016

9. Committee name and sub-committee terms of reference: Consideration was given to the proposed change of name for the Committee to avoid confusion between the internal and external appointments and remuneration groups. It was agreed that the Committee's name should be changed to the Provider Leadership Committee (PLC). The PLC considered the proposed Terms of Reference for the four regional sub-committees. The sub-committees would approve non-executive appointments and some executive remuneration matters in the respective regions. The membership of the sub-committees and the conduct of business were noted, and the terms of reference approved subject to amendments made at the meeting.
10. Supporting the chair and non-executive community: The Chair introduced the report which set out the proposals for supporting the chair and NED community. The paper was also considered by NHS Improvement Board on 28 July 2016. Consideration was given to the list of current chairs of NHS trusts tabled at the meeting which provided information on the terms of office. Previous NHS TDA policy had been for appointments to be restricted to two years and it was noted a significant number of terms of office would come to an end in 2016/17 as a consequence. A staged approach to appointments and reappointments for both NHS trusts and NHS foundation trusts was considered to be best practice. PLC requested that the list be reviewed at every meeting.
11. NHS Workforce Race Equality Standard: An oral update was provided on the NHS Workforce Race Equality Standard (WRES), an initiative created by the NHS Equality and Diversity Council. The first analysis of metrics and baseline data had been published in July 2016. It was noted that, of the nine metrics, the final one on leadership was of particular interest to the PLC. At the present time, the requirement was for trust boards to be representative of the community they served; it had been proposed that this would be changed to require the voting membership of boards to be reflective of the Black, Asian and Minority Ethnic (BAME) makeup of the workforce of the rest of their organisation. Consideration was given to how the NED Appointments Team would factor the WRES metric into the appointments process going forward and it was noted that consideration should be given to referencing the new metric on appointment

recommendations.

12. Casework and quarterly reports: The Committee considered a number of individual appointments and remuneration cases, and received quarterly reports on activity in these areas.

13. Full minutes of the meeting are attached as Confidential Annex B.

Recommendation

14. The Board is asked to note recent committee activity.

Jessica Dahlstrom
Head of Governance

Public Sector Equality Duty

NHS Improvement has a duty under the Equality Act 2010 to have due regard to the need to eliminate unlawful discrimination, advance equality of opportunity and foster good relations between people from different groups. In relation to the issues set out in this paper, consideration has been given to the impact that the recommendations might have on these requirements and on the nine protected groups identified by the Act (age, disability, gender reassignment, marriage and civil partnership, pregnancy and maternity, race, religion and belief, gender and sexual orientation).

As it is for information, it is anticipated that none of the recommendations of this paper will have an impact upon the requirements of or the protected groups identified by the Equality Act.