

10 July 2017

Wellington House  
133-155 Waterloo Road  
London SE1 8UG

T: 020 3747 0000  
E: [nhsi.enquiries@nhs.net](mailto:nhsi.enquiries@nhs.net)  
W: [improvement.nhs.uk](http://improvement.nhs.uk)

By email

Dear [REDACTED]

### **Request under the Freedom of Information Act 2000 (the “FOI Act”)**

I refer to your email of **15 June 2017** in which you requested information under the FOI Act from NHS Improvement. Since 1 April 2016, Monitor and the NHS Trust Development Authority (“NHS TDA”) are operating as an integrated organisation known as NHS Improvement. For the purposes of this decision, NHS Improvement means Monitor and the NHS TDA.

### **Your request**

You made the following request:

This is a freedom of information request for the following:

- *NHS Improvement’s quarterly logs of gifts and hospitality from October 2016 to March 2017 (Q3 and Q4 2016/17)*
- *NHSI released previous quarterly registers following an FOI I made in November last year (NHS IMPROVEMENT - T1520).*

*However, NHSI should be publishing these regularly on its website. As far as I can see, neither the registers that were released to me in December 2016, nor more recent hospitality logs, have been published on your site.*

### **Decision**

NHS Improvement holds the information that you have requested and has decided to release this information.

Please see attached to this letter the Gifts and Hospitality Log for NHS Improvement for Q3 and Q4 2016/17. These will be published shortly. Previous logs are available on Monitor’s

legacy website at the following link: <https://www.gov.uk/government/publications/foi-gifts-hospitality-logs>.

### **Review rights**

If you consider that your request for information has not been properly handled or if you are otherwise dissatisfied with the outcome of your request, you can try to resolve this informally with the person who dealt with your request. If you remain dissatisfied, you may seek an internal review within NHS Improvement of the issue or the decision. A senior member of NHS Improvement's staff, who has not previously been involved with your request, will undertake that review.

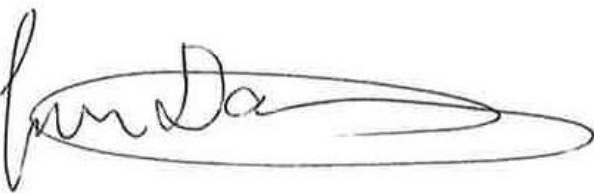
If you are dissatisfied with the outcome of any internal review, you may complain to the Information Commissioner for a decision on whether your request for information has been dealt with in accordance with the FOI Act.

A request for an internal review should be submitted in writing to FOI Request Reviews, NHS Improvement, Wellington House, 133-155 Waterloo Road, London SE1 8UG or by email to [nhsi.foi@nhs.net](mailto:nhsi.foi@nhs.net).

### **Publication**

Please note that this letter and the attached information will shortly be published on our website. This is because information disclosed in accordance with the FOI Act is disclosed to the public at large. We will, of course, remove your personal information (e.g. your name and contact details) from the version of the letter published on our website to protect your personal information from general disclosure.

Yours sincerely,

A handwritten signature in black ink, appearing to read 'Jessica Dahlstrom', enclosed within a large, horizontal oval shape.

**Jessica Dahlstrom**  
Head of Governance

## 2016-17 Q3 Board and ExCo Gifts and Hospitality Log

Ed Smith

Caroline Thomson

Dame Glynis Breakwell

Lord Carter

Lord Darzi

Sigurd Reinton

Laura Carstensen

Richard Douglas

Sarah Harkness

Jim Mackey

Stephen Hay

Bob Alexander

Kathy McLean

Ruth May

Ben Dyson

Adam Sewell-Jones

Helen Buckingham

Steve Russell

Anne Eden

Lyn Simpson

Dale Bywater

Jeremy Marlow

DESCRIPTION OF GIFT/HOSPITALITY	DATE	NAME	ROLE	RECEIVED FROM / GIVEN TO	VALUE (ESTIMATE IF UNKNOWN)	REASON FOR PROVISION OF GIFT/HOSPITALITY	STATUS
Working Dinner with Chairs of Liverpool NHS Trust	01/12/2016	Ed Smith	Chairman	Chairs of Liverpool NHS Trust	£40	Working Dinner	Received
Working dinner	07/12/2016	Ed Smith	Chairman	Received from NHS Providers	£40	Awards dinner	Received
Awards dinner	05/12/2016	Ed Smith	Chairman	(Received from) Guest at CHN Not the healthcare awards dinner	£40	Working dinner	Received

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No declarations received this quarter

Dame Glynis Breakwell  
2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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Lord Carter  
2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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Lord Darzi  
2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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No declarations received this quarter



Sigurd Reinton  
2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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Stephen Hay  
 2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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Breakfast	14/10/2016	Stephen Hay	Deputy CEO/ Executive Director of Regulation	Received from EY	£10-£15	Networking	Received
Dinner	20/10/2016	Stephen Hay	Deputy CEO/ Executive Director of Regulation	Received from Mckinsey	£40-£50	Networking	Received
Breakfast	01/11/2016	Stephen Hay	Deputy CEO/ Executive Director of Regulation	Received from Saxton Bamfylde	£10-£15	Networking	Received
Dinner	09/11/2016	Stephen Hay	Deputy CEO/ Executive Director of Regulation	Received from NHS Providers	£40-£50	Networking	Received
Dinner	30/11/2016	Stephen Hay	Deputy CEO/ Executive Director of Regulation	Received from EY	£40-£50	Networking	Received
Breakfast	02/12/2016	Stephen Hay	Deputy CEO/ Executive Director of Regulation	Received from PwC	£10-£15	Networking	Received

Bob Alexander  
 2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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Bottle of Wine	07/10/2016	Bob Alexander	Deputy CEO / Exec Director of Resources	HFMA	Unknown	Bob spoke at their Conference	Received
Bottle of Wine	14/10/2016	Bob Alexander	Deputy CEO / Exec Director of Resources	HFMA	Unknown	Bob spoke at their Conference	Received
Chocolates	17/11/2016	Bob Alexander	Deputy CEO / Exec Director of Resources	CHKS	£8.00	Bob spoke at their Meeting	Received

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Ben Dyson  
 2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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King's Fund Annual Reception - drinks	06/12/2016	Ben Dyson	Executive Director of Strategy	Received from The King's Fund	£20	Networking	Received
National Association of Primary Care: Primary Care Home Community of Practice National Event - dinner	08/12/2016	Ben Dyson	Executive Director of Strategy	Received from the National Association of Primary Care	£40	Part of national event to share good practice	Received
Salix & Co. Christmas drinks	20/12/2016	Ben Dyson	Executive Director of Strategy	Offered by Salix & Co. (public relations firm)	Unknown	Networking	Declined

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King's Fund Annual Reception 2016	06/12/2016	Adam Sewell-Jones	Executive Director of Improvement	The King's Fund	£20	Adam attended The King's Fund Annual Reception. Drinks were included	Received

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Dale Bywater  
2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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Jeremy Marlow

2016-17 Q3 Board and ExCo Gifts and Hospitality Log

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Dinner at Roux at the Landau	05/10/2016	Jeremy Marlow	Executive Director of Operational Productivity	Jonathan Wedgbury, Health Trust Purchasing Group / Jeremy Marlow	£40	Networking	Accepted
ABHI Dinner	21/11/2016	Jeremy Marlow	Executive Director of Operational Productivity	ABHI / Jeremy Marlow	£50	Networking	Accepted
Kings Fund annual reception - drinks and canapes	06/12/2016	Jeremy Marlow	Executive Director of Operational Productivity	Kings Fund / Jeremy Marlow	£20	Networking	Accepted

## 2016-17 Q4 Board and ExCo Gifts and Hospitality Log

Ed Smith

Caroline Thomson

Dame Glynis Breakwell

Lord Carter

Lord Darzi

Sigurd Reinton

Laura Carstensen

Richard Douglas

Sarah Harkness

Jim Mackey

Stephen Hay

Bob Alexander

Kathy McLean

Ruth May

Ben Dyson

Adam Sewell-Jones

Helen Buckingham

Steve Russell

Anne Eden

Lyn Simpson

Dale Bywater

Jeremy Marlow

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NHS Clinical Commissioners Dinner	25/01/2017	Ed Smith	Chairman	NHS Clinical Commissioners event organiser	£40	Speaker at event	Received
Working lunch	30/01/2017	Ed Smith	Chairman	Received from Steve Hemsley, CEO United Health	£55	Working lunch	Received
Working dinner with Larry Renfro, CEO Optum	15/03/2017	Ed Smith	Chairman	Larry Renfro, CEO Optum	£70	Working dinner	Received

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Dame Glynis Breakwell  
2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Lord Carter  
2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Lord Darzi  
2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Sigurd Reinton  
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Richard Douglas  
2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Dinner	24/01/2017	Richard Douglas	Non-Executive Director	The Healthcare Governance Internal Audit team	£40	Networking	Received

Sarah Harkness

2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Dinner	24/01/2017	Sarah Harkness	Non-Executive Director	The Healthcare Governance Internal Audit team	£40	Networking	Received

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Bob Alexander  
 2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Dinner	30/01/2017	Bob Alexander	Executive Director of Resources	NHS England	£40	STP Leadership Development Day / Dinner - Simon Stevens & Jim Mackey	Received
Dinner	21/03/2017	Bob Alexander	Executive Director of Resources	Association of Independent Pathology Providers	£40	Dinner discussion for IPP to share experiences, knowledge, ideas, & best practice with NHSI	Received
Dinner	23/03/2017	Bob Alexander	Executive Director of Resources	KPMG	£40	Joint Quarterly working dinner between NHSI & KPMG colleagues	Received

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Ben Dyson  
 2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Public Policy Projects breakfast	18/01/2017	Ben Dyson	Executive Director of Strategy	Received from Public Policy Projects	£15	Breakfast event to discuss Sustainability and Transformation Plans	Received
King's Fund Dinner	20/02/2017	Ben Dyson	Executive Director of Strategy	Received from The King's Fund	£40	Dinner to discuss Sustainability and Transformation Plans	Received
NHS Partners Annual Reception - drinks	16/02/2017	Ben Dyson	Executive Director of Strategy	Received from NHS Partners	£20	Networking	Received
Health Foundation Annual Reception	27/02/2017	Ben Dyson	Executive Director of Strategy	Received from the Health Foundation	£20	Networking	Received

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UK Improvement Alliance Dinner	02/02/2017	Adam Sewell-Jones	Executive Director of Improvement	UK Improvement Alliance Dinner	£30	Adam attended the UK Improvement Alliance Dinner. Drinks were included.	Received
Siemens Leadership Event	10/02/2017	Adam Sewell-Jones	Executive Director of Improvement	Siemens Healthcare Limited	£6.49	Siemens gifted Adam a bottle of wine for presenting at their leadership event.	Received
The Health Foundation Evening Reception	27/03/2017	Adam Sewell-Jones	Executive Director of Improvement	The Health Foundation	£15	Adam attended The Health Foundation Evening Reception. Drinks and nibbles were included	Received

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Anne Eden

2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Dale Bywater  
2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Jeremy Marlow

2016-17 Q4 Board and ExCo Gifts and Hospitality Log

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Dinner	21/03/2017	Jeremy Marlow	Executive Director of Operational Productivity	Association of Independent Pathology Providers	£40	Networking	Accepted