

20 March 2018

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██████████  
**By email**  
████████████████████

Dear ██████████,

### **Request under the Freedom of Information Act 2000 (the “FOI Act”)**

We refer to your email of 22 February 2018 in which you requested information under the FOI Act from NHS Improvement. Since 1 April 2016, Monitor and the NHS Trust Development Authority have been operating as an integrated organisation known as NHS Improvement. For the purposes of this decision, NHS Improvement means Monitor and the TDA.

### **Your request**

You made the following request:

*“Please may you provide and up to date list off all NHS trusts that are currently in financial special measures, the data of their entry and the expected date of exit. If possible could you also include the grounds of their entry into special measures.”*

### **Decision**

NHS Improvement holds some of the information that you have requested and has decided to release all of the information that it holds.

We have taken your request to mean both NHS trusts and NHS foundation trusts.

All special measures for finance reasons (FSM) entry and exit decisions are publicised through an NHS Improvement press release and an up to date list is maintained on our website. Please refer to the link below:

<https://improvement.nhs.uk/resources/special-measures-guide-nhs-trusts-and-foundation-trusts>

The entry and exit criteria are also published here.

We do not hold the expected date of exit for each trust. We regularly review the trusts progress against the published exit criteria and once these are met, consideration on exit will be made through the NHS Improvement governance process.

<b>Trust</b>	<b>Date of entry</b>	<b>Grounds for entry</b>
Maidstone and Tunbridge Wells NHS Trust	22 August 2016	The provider has not agreed a control total and is planning a deficit for the year
Barts Health NHS Trust	02 September 2016	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant deficit
East Sussex Healthcare NHS Trust	28 October 2016	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant deficit
Gloucestershire Hospitals NHS Foundation Trust	28 October 2016	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant deficit
Brighton and Sussex University Hospitals NHS Trust	28 October 2016	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant deficit
East Kent Hospitals NHS Foundation Trust	09 March 2017	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant deficit
Northern Lincolnshire and Goole NHS Foundation Trust	24 March 2017	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant deficit
University Hospitals North Midlands NHS Trust	24 March 2017	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant deficit
St George's University Hospitals NHS Foundation Trust	24 March 2017	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant

		deficit
United Lincolnshire NHS Trust	01 September 2017	The provider has agreed a control total and has a significant negative variance against the control total plan, and is forecasting a significant deficit
Kings College Hospitals NHS Foundation Trust	07 December 2017	The provider has not agreed a control total and is planning a deficit for the year
Barking, Havering and Redbridge University Hospitals NHS Trust	12 February 2018	The provider has a significant negative variance year-to-date against the control total plan; and is forecasting (or has recently delivered at year-end) a significant deficit.

### **Review rights**

If you consider that your request for information has not been properly handled or if you are otherwise dissatisfied with the outcome of your request, you can try to resolve this informally with the person who dealt with your request. If you remain dissatisfied, you may seek an internal review within NHS Improvement of the issue or the decision. A senior member of NHS Improvement's staff, who has not previously been involved with your request, will undertake that review.

If you are dissatisfied with the outcome of any internal review, you may complain to the Information Commissioner for a decision on whether your request for information has been dealt with in accordance with the FOI Act.

A request for an internal review should be submitted in writing to FOI Request Reviews, NHS Improvement, Wellington House, 133-155 Waterloo Road, London SE1 8UG or by email to [nhsi.foi@nhs.net](mailto:nhsi.foi@nhs.net).

### **Publication**

Please note that this letter will shortly be published on our website. This is because information disclosed in accordance with the FOI Act is disclosed to the public at large. We will, of course, remove your personal information (e.g. your name and contact details) from the version of the letter published on our website to protect your personal information from general disclosure.

Yours sincerely,

## **NHS Improvement**