

 Increase in Rating
  No movement in Rating
  Decrease in Rating
 N New Risk

Draft Joint Corporate Risk Register

Position	High level risk description – Pre-Action	Pre-Action Current Likelihood & Impact		Pre-Action Current Rating	Move in rating	Post-Action Target Likelihood & Impact		Post-Action Target Rating	Risk Owner
		Likelihood	Impact	Rating		Likelihood	Impact	Rating	
1=	No deal EU Exit – Rise of unforeseen impacts outside the control of the NHS	4	5	20	↓	4	4	16	Chief Operating Officer
1=	NHS Workforce Capacity – Workforce budgets allocated by DHSC and People Plan interventions do not address the full gap between workforce demand and supply	5	4	20	↑	4	4	16	Chief People Officer
1=	Urgent and Emergency Care – Demand for urgent and emergency care exceeds the capacity available to meet it, undermining providers’ ability to meet performance targets	5	4	20	N	4	4	16	Chief Operating Officer
4=	Demand for Elective Care – Demand exceeds the capacity available to meet it, undermining providers’ ability to meet performance and access targets	4	4	16	⇒	3	3	9	Chief Operating Officer
4=	Delivery of S7a services - A significant problem in the delivery of S7a screening, immunisations and CHIS services affecting provision of patient care and treatment	4	4	16	⇒	3	3	9	Chief Operating Officer
4=	Financial performance – The joint organisation is not able to contain spend on NHS services within financial limits set by the Department of Health and Social Care (DHSC)	4	4	16	⇒	2	4	8	Chief Financial Officer
4=	Learning Disabilities – Reductions in inpatients for people with often complex needs not fully achieved	4	4	16	↑	3	3	9	Chief Operating Officer
8=	Primary Care and System Transformation – Difficulty moving to Integrated Care Systems and associated service changes as set out in the Long Term Plan	3	4	12	N	3	3	9	Chief Operating Officer
8=	Organisation Transformation and Joint Working - Unable to develop the capacity to deliver the joint working programme benefits, Long Term Plan priorities and statutory duties	3	4	12	↓	3	2	6	National Director - Transformation and Corporate Development
8=	Capital Finance - Capital resources are insufficient to deliver all the LTP commitments and/or capital expenditure plans exceed the Capital Departmental Expenditure limit set by the Department of Health and Social Care (DHSC)	3	4	12	↓	2	3	6	Chief Financial Officer
11=	Cyber Security - Appropriate safeguards are not in place to protect the joint organisation from cyber-attack; ineffective assurance and inadequate cyber security in place in the wider NHS	3	3	9	↓	3	3	9	National Director - Transformation and Corporate Development/NHSX CEO
11=	Quality - The Joint Organisations’ systems and processes do not effectively minimise the risk of quality failures and enable us to intervene appropriately when failures occur	3	3	9	↓	2	3	6	National Medical Director